



## Velox Clearing LLC - Held NMS Stocks and Options Order Routing Public Report

October 2022

### S&P 500 Stocks

#### Summary

| Non-Directed Orders % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|-------------------------------------|---|---|---|--|
| 100.00                              | 30.17                                     | 15.91   | 53.92   | 0.00                                     |

### Venue

| Venue Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders (USD) | Net Payment Paid/Received for Market Orders (cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders (USD) | Net Payment Paid/Received for Marketable Limit Orders (cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders (USD) | Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred shares) | Net Payment Paid/Received for Other Orders (USD) | Net Payment Paid/Received for Other Orders (cents per hundred shares) |
|-------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|---|--|---|--|---|--|--|---|
| CODA                          | 97.24                   | 99.08             | 97.29                       | 96.19                           | 0.00             | 122.46  | 17   | 141.56  | 17   | 389.28  | 17   | 0  | 17  |
| SPDR                          | 2.76                    | 0.92              | 2.71                        | 3.81                            | 0.00             | 0   | 0  | 0   | 0  | 0   | 0  | 0  | 0   |
| DASH                          | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.00             | 0   | 0  | 0   | 0  | 0   | 0  | 0  | 0   |
| REDI                          | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.00             | 0   | 0  | 0   | 0  | 0   | 0  | 0  | 0   |
| WEXX                          | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.00             | 0   | 0  | 0   | 0  | 0   | 0  | 0  | 0   |

### Material Aspects

CODA Markets Inc.: Velox Clearing LLC receives payment for order flow from CODA Markets Inc. at a rate of \$0.0010/share for orders routed during market hours. Routing decisions of customer orders are not influenced by such payments.

SpeedRoute LLC: Velox Clearing LLC receives payment for order flow from SpeedRoute LLC at a rate of \$0.0010/share for orders routed during market hours. For orders adding liquidity to the market Velox receives payment at a rate of \$0.0028/share. Additionally Velox receives a portion of venue rebates from the exchanges upon which orders have been executed. Routing decisions of customer orders are not influenced by such payments.

DASH Financial Technologies LLC: Velox Clearing LLC does not receive payment for order flow from DASH Financial Technologies LLC for equity orders. Routing decisions of customer orders are not influenced by such payments.

REDI Global Technologies LLC: Velox Clearing does not receive payment for order flow from REDI. Decisions to route customer orders are not influenced by any payments.

Wolverine Execution Services LLC: Velox Clearing LLC does not receive payment for order flow from Wolverine Execution Services LLC for equity orders. Routing decisions of customer orders are not influenced by such payments.

# October 2022

## Non-S&P500

### Summary

| Non-Directed Orders<br>% of All Orders | Market Orders as %<br>of Non-Directed<br>Orders | Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|--|---|---|---|---|
| 85.95                                  | 11.08   | 32.57   | 56.28   | 0.00  |

### Venue

| Venue Non-directed<br>Order Flow | Non-Directed Orders<br>(%) | Market Orders (%) | Marketable Limit<br>Orders (%) | Non-Marketable Limit<br>Orders (%) | Other Orders (%) | Net Payment<br>Paid/Received for<br>Market Orders (USD) | Net Payment<br>Paid/Received for<br>Market Orders (cents<br>per hundred shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other Orders (USD) | Net Payment<br>Paid/Received for<br>Other Orders (cents<br>per hundred shares) |
|----------------------------------|----------------------------|-------------------|--------------------------------|------------------------------------|------------------|---|---|--|--|--|--|--|--|
| DASH                             | 0.06                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| REDI                             | 0.03                       | 0.12              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| SPDR                             | 60.73                      | 77.34             | 65.97                          | 54.51                              | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| CODA                             | 39.18                      | 22.54             | 34.03                          | 45.49                              | 0.00             | 3181.19   | 17  | 8733.94  | 17   | 16607.46   | 17   | 0  | 17   |
| WEXX                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |

### Material Aspects

DASH Financial Technologies LLC: Velox Clearing LLC does not receive payment for order flow from DASH Financial Technologies LLC for equity orders. Routing decisions of customer orders are not influenced by such payments.

REDI Global Technologies LLC: Velox Clearing does not receive payment for order flow from REDI. Decisions to route customer orders are not influenced by any payments.

SpeedRoute LLC: Velox Clearing LLC receives payment for order flow from SpeedRoute LLC at a rate of \$0.0010/share for orders routed during market hours. For orders adding liquidity to the market Velox receives payment at a rate of \$0.0028/share. Additionally Velox receives a portion of venue rebates from the exchanges upon which orders have been executed. Routing decisions of customer orders are not influenced by such payments.

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Wolverine Execution Services LLC: Velox Clearing LLC does not receive payment for order flow from Wolverine Execution Services LLC for equity orders. Routing decisions of customer orders are not influenced by such payments.

# October 2022

## Options

### Summary

| Non-Directed Orders<br>% of All Orders | Market Orders as %<br>of Non-Directed<br>Orders | Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|--|---|---|---|---|
| 100.00                                 | 6.26  | 19.84   | 73.90   | 0.00  |

### Venue

| Venue Non-directed<br>Order Flow | Non-Directed Orders<br>(%) | Market Orders (%) | Marketable Limit<br>Orders (%) | Non-Marketable Limit<br>Orders (%) | Other Orders (%) | Net Payment<br>Paid/Received for<br>Market Orders (USD) | Net Payment<br>Paid/Received for<br>Market Orders (cents<br>per hundred shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other Orders (USD) | Net Payment<br>Paid/Received for<br>Other Orders (cents<br>per hundred shares) |
|----------------------------------|----------------------------|-------------------|--------------------------------|------------------------------------|------------------|---|---|--|--|--|--|--|--|
| WEXX                             | 100.00                     | 100.00            | 100.00                         | 100.00                             | 0.00             | 0.18  | 0.5   | 0.79   | 0.5  | 2.17   | 0.5  | 0  | 0.5  |
| DASH                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| REDI                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| SPDR                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| CODA                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |

### Material Aspects

Wolverine Execution Services LLC: Velox Clearing LLC receives payment for order flow from Wolverine Execution Services LLC for options orders according to the following schedule: Penny Options Symbols: \$0.40/Contract; Non-Penny Options: \$0.80/contract; SPY Options: \$0.20/Contract. Routing decisions of customer orders are not influenced by such payments.

DASH Financial Technologies LLC: Velox Clearing LLC does not receive payment for order flow from DASH Financial Technologies LLC for options orders. Routing decisions of customer orders are not influenced by such payments.

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SpeedRoute LLC: Velox Clearing LLC does not receive payment for order flow from SpeedRoute LLC for options orders. Routing decisions of customer orders are not influenced by such payments.

CODA Markets Inc.: Velox Clearing LLC does not receive payment for order flow from CODA Markets Inc. for options orders. Routing decisions of customer orders are not influenced by such payments.

# November 2022

## S&P 500 Stocks

### Summary

| Non-Directed Orders<br>% of All Orders | Market Orders as %<br>of Non-Directed<br>Orders | Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|--|---|---|---|---|
| 99.99                                  | 22.62   | 18.27   | 59.05   | 0.00  |

### Venue

| Venue Non-directed<br>Order Flow | Non-Directed Orders<br>(%) | Market Orders (%) | Marketable Limit<br>Orders (%) | Non-Marketable Limit<br>Orders (%) | Other Orders (%) | Net Payment<br>Paid/Received for<br>Market Orders (USD) | Net Payment<br>Paid/Received for<br>Market Orders (cents<br>per hundred shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other Orders (USD) | Net Payment<br>Paid/Received for<br>Other Orders (cents<br>per hundred shares) |
|----------------------------------|----------------------------|-------------------|--------------------------------|------------------------------------|------------------|---|---|--|--|--|--|--|--|
| CODA                             | 99.94                      | 100.00            | 100.00                         | 100.00                             | 0.00             | 71.05   | 17  | 107.66   | 17   | 248.73   | 17   | 0  | 17   |
| SPDR                             | 0.06                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| DASH                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| REDI                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| WEXX                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |

### Material Aspects

CODA Markets Inc.: Velox Clearing LLC receives payment for order flow from CODA Markets Inc. at a rate of \$0.0010/share for orders routed during market hours. Routing decisions of customer orders are not influenced by such payments.

SpeedRoute LLC: Velox Clearing LLC receives payment for order flow from SpeedRoute LLC at a rate of \$0.0010/share for orders routed during market hours. For orders adding liquidity to the market Velox receives payment at a rate of \$0.0028/share. Additionally Velox receives a portion of venue rebates from the exchanges upon which orders have been executed. Routing decisions of customer orders are not influenced by such payments.

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# November 2022

## Non-S&P500

### Summary

| Non-Directed Orders<br>% of All Orders | Market Orders as %<br>of Non-Directed<br>Orders | Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|--|---|---|---|---|
| 84.84                                  | 4.99  | 28.82   | 66.19   | 0.00  |

### Venue

| Venue Non-directed<br>Order Flow | Non-Directed Orders<br>(%) | Market Orders (%) | Marketable Limit<br>Orders (%) | Non-Marketable Limit<br>Orders (%) | Other Orders (%) | Net Payment<br>Paid/Received for<br>Market Orders (USD) | Net Payment<br>Paid/Received for<br>Market Orders (cents<br>per hundred shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other Orders (USD) | Net Payment<br>Paid/Received for<br>Other Orders (cents<br>per hundred shares) |
|----------------------------------|----------------------------|-------------------|--------------------------------|------------------------------------|------------------|---|---|--|--|--|--|--|--|
| DASH                             | 0.20                       | 2.77              | 0.05                           | 0.08                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| SPDR                             | 60.61                      | 48.40             | 55.91                          | 63.58                              | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| CODA                             | 39.18                      | 48.83             | 44.05                          | 36.34                              | 0.00             | 1012.58   | 17  | 10153.2  | 17   | 15867.9  | 17   | 0  | 17   |
| REDI                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| WEXX                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |

### Material Aspects

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# November 2022

## Options

### Summary

| Non-Directed Orders % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|-------------------------------------|---|---|---|--|
| 100.00                              | 4.50                                      | 21.12   | 74.38   | 0.00                                     |

### Venue

| Venue Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders (USD) | Net Payment Paid/Received for Market Orders (cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders (USD) | Net Payment Paid/Received for Marketable Limit Orders (cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders (USD) | Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred shares) | Net Payment Paid/Received for Other Orders (USD) | Net Payment Paid/Received for Other Orders (cents per hundred shares) |
|-------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|---|--|---|--|---|--|--|---|
| WEXX                          | 100.00                  | 100.00            | 100.00                      | 100.00                          | 0.00             | 0.1   | 0.5  | 0.7   | 0.5  | 2.64  | 0.5  | 0  | 0.5   |
| DASH                          | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.00             | 0   | 0  | 0   | 0  | 0   | 0  | 0  | 0   |
| REDI                          | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.00             | 0   | 0  | 0   | 0  | 0   | 0  | 0  | 0   |
| SPDR                          | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.00             | 0   | 0  | 0   | 0  | 0   | 0  | 0  | 0   |
| CODA                          | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.00             | 0   | 0  | 0   | 0  | 0   | 0  | 0  | 0   |

### Material Aspects

Wolverine Execution Services LLC: Velox Clearing LLC receives payment for order flow from Wolverine Execution Services LLC for options orders according to the following schedule: Penny Options Symbols: \$0.40/Contract; Non-Penny Options: \$0.80/contract; SPY Options: \$0.20/Contract. Routing decisions of customer orders are not influenced by such payments.

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# December 2022

## S&P 500 Stocks

### Summary

| Non-Directed Orders<br>% of All Orders | Market Orders as %<br>of Non-Directed<br>Orders | Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|--|---|---|---|---|
| 100.00                                 | 25.59   | 19.79   | 54.53   | 0.00  |

### Venue

| Venue Non-directed<br>Order Flow | Non-Directed Orders<br>(%) | Market Orders (%) | Marketable Limit<br>Orders (%) | Non-Marketable Limit<br>Orders (%) | Other Orders (%) | Net Payment<br>Paid/Received for<br>Market Orders (USD) | Net Payment<br>Paid/Received for<br>Market Orders (cents<br>per hundred shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other Orders (USD) | Net Payment<br>Paid/Received for<br>Other Orders (cents<br>per hundred shares) |
|----------------------------------|----------------------------|-------------------|--------------------------------|------------------------------------|------------------|---|---|--|--|--|--|--|--|
| CODA                             | 99.92                      | 100.00            | 100.00                         | 100.00                             | 0.00             | 120.76  | 17  | 193.53   | 17   | 256.46   | 17   | 0  | 17   |
| SPDR                             | 0.08                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| DASH                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| REDI                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| WEXX                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |

### Material Aspects

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# December 2022

## Non-S&P500

### Summary

| Non-Directed Orders<br>% of All Orders | Market Orders as %<br>of Non-Directed<br>Orders | Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|--|---|---|---|---|
| 73.51                                  | 7.80  | 34.19   | 58.01   | 0.00  |

### Venue

| Venue Non-directed<br>Order Flow | Non-Directed Orders<br>(%) | Market Orders (%) | Marketable Limit<br>Orders (%) | Non-Marketable Limit<br>Orders (%) | Other Orders (%) | Net Payment<br>Paid/Received for<br>Market Orders (USD) | Net Payment<br>Paid/Received for<br>Market Orders (cents<br>per hundred shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other Orders (USD) | Net Payment<br>Paid/Received for<br>Other Orders (cents<br>per hundred shares) |
|----------------------------------|----------------------------|-------------------|--------------------------------|------------------------------------|------------------|---|---|--|--|--|--|--|--|
| CODA                             | 50.15                      | 58.47             | 35.33                          | 57.76                              | 0.00             | 978.7   | 17  | 10357.1  | 17   | 28587.63   | 17   | 0  | 17   |
| DASH                             | 1.31                       | 0.03              | 3.10                           | 0.43                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| SPDR                             | 48.54                      | 41.50             | 61.57                          | 41.82                              | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| REDI                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| WEXX                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |

### Material Aspects

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## Options

### Summary

| Non-Directed Orders<br>% of All Orders | Market Orders as %<br>of Non-Directed<br>Orders | Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|--|---|---|---|---|
| 100.00                                 | 4.06  | 20.67   | 75.12   | 0.00  |

### Venue

| Venue Non-directed<br>Order Flow | Non-Directed Orders<br>(%) | Market Orders (%) | Marketable Limit<br>Orders (%) | Non-Marketable Limit<br>Orders (%) | Other Orders (%) | Net Payment<br>Paid/Received for<br>Market Orders (USD) | Net Payment<br>Paid/Received for<br>Market Orders (cents<br>per hundred shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (USD) | Net Payment<br>Paid/Received for<br>Non-Marketable Limit<br>Orders (cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other Orders (USD) | Net Payment<br>Paid/Received for<br>Other Orders (cents<br>per hundred shares) |
|----------------------------------|----------------------------|-------------------|--------------------------------|------------------------------------|------------------|---|---|--|--|--|--|--|--|
| DASH                             | 0.15                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| WEXX                             | 99.85                      | 100.00            | 100.00                         | 100.00                             | 0.00             | 0.12  | 0.5   | 0.64   | 0.5  | 1.97   | 0.5  | 0  | 0.5  |
| REDI                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| SPDR                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |
| CODA                             | 0.00                       | 0.00              | 0.00                           | 0.00                               | 0.00             | 0   | 0   | 0  | 0  | 0  | 0  | 0  | 0  |

### Material Aspects

DASH Financial Technologies LLC: Velox Clearing LLC does not receive payment for order flow from DASH Financial Technologies LLC for options orders. Routing decisions of customer orders are not influenced by such payments.

Wolverine Execution Services LLC: Velox Clearing LLC receives payment for order flow from Wolverine Execution Services LLC for options orders according to the following schedule: Penny Options Symbols: \$0.40/Contract; Non-Penny Options: \$0.80/contract; SPY Options: \$0.20/Contract. Routing decisions of customer orders are not influenced by such payments.

REDI Global Technologies LLC: Velox Clearing does not receive payment for order flow from REDI. Decisions to route customer orders are not influenced by any payments.

SpeedRoute LLC: Velox Clearing LLC does not receive payment for order flow from SpeedRoute LLC for options orders. Routing decisions of customer orders are not influenced by such payments.

CODA Markets Inc.: Velox Clearing LLC does not receive payment for order flow from CODA Markets Inc. for options orders. Routing decisions of customer orders are not influenced by such payments.