



Informational Brochure

Clearing and Custody Services

WHO WE ARE

Velox Clearing is a FINRA and SEC registered broker dealer that delivers correspondent clearing and prime brokerage services for brokers, registered investment advisors, and institutions. Velox is also a member of DTC/ NSCC, SIPC, NYSE, and 9 other securities exchanges and self-regulatory organizations.

Headquartered in Southern California, Velox delivers superior efficiency to the back-office, empowering our customers to focus on controlling costs, acquiring new business, and leveraging their market presence.

At Velox, we believe in having an active relationship with each our customers. We don't rely on a "set-and-forget" process for our clients because your success determines ours. We work closely with each client to ensure they are provided the appropriate level of service that reflects our standard of commitment.

CLIENTS WE SERVE

| BROKERS |
|-----------------------|
| Introducing Brokers |
| Market Making Brokers |
| Omnibus/FCA Brokers |

| MANAGERS |
|--------------------------------|
| Hedge Funds/Family Offices |
| Registered Investment Advisers |
| Automated/Black Box Traders |

SOLUTIONS WE OFFER

We currently offer the following capabilities, with plans to further expand:

| | | |
|----------------------------------------|--------------------------|--------------------------------------------|
| Clearing and Settlement of US Equities | Sponsored Access and DMA | Custody and Safekeeping |
| Stock Loan | API Integration | Seperation of Clearing and Execution Costs |

BECAUSE WE CARE

With an artillery of core solutions, Velox aims to control costs and reduce operational complexities. Reach out today and determine a solution that maximizes your business success.

Sales

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